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A Cost Comparison Analysis of Bird-Monitoring Techniques for Result-Based Payments in Agriculture

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Abstract:

Result-based payments (RBPs) reward land users for conservation outcomes and are a promising alternative to standard payments, which are targeted at specific land use measures. A major barrier to the implementation of RBPs, particularly for the conservation of mobile species, is the substantial monitoring cost. Passive acoustic monitoring may offer promising opportunities for low-cost monitoring as an alternative to human observation. We develop a costing framework for comparing human observation and passive acoustic monitoring and apply it to a hypothetical RBP scheme for farmland bird conservation. We consider three different monitoring scenarios: daytime monitoring for the whinchat and the ortolan bunting, nighttime monitoring for the partridge and the common quail, and day-and-night monitoring for all four species. We also examine the effect of changes in relevant parameters (such as participating area, travel distance and required monitoring time) on the cost comparison. Our results show that passive acoustic monitoring is still more expensive than human observation for daytime monitoring. In contrast, passive acoustic monitoring has a cost advantage for nighttime and day-and-nighttime monitoring in almost all considered scenarios.

Keywords: Performance-based payments, monitoring costs, PAM, ARU, AudioMoth, bird surveys, payments for ecosystem services, agri-environment schemes

1. Introduction

Payments that incentivise land users to implement biodiversity-enhancing land use measures have become an important policy instrument for biodiversity conservation (Engel 2016). However, these payments for land use measures have often been criticised for their lack of conservation success especially in Europe and the US, where they are often implemented as agri-environmental schemes (Batáry et al. 2015; Wätzold et al. 2016, Khanna et al. 2018). A promising alternative are result-based payments (RBPs; also called performance-based payments, Burton and Schwarz 2013), where land users receive a payment not for a measure but if a specific conservation outcome (e.g. an endangered plant species) is found on their land (Herzon et al. 2018).

RBPs provide several advantages over payments for land use measures. They are more ecologically effective as land users only receive a payment if the conservation outcome is actually achieved (Burton and Schwarz 2013). RBPs are also cost-effective, as only land users with low conservation costs will implement conservation measures on their land (Wätzold and Drechsler 2005). Moreover, they provide incentives for land users to identify and implement innovative and ecologically successful conservation measures, as this increases the likelihood of receiving a payment (Bartkowski et al. 2021).

RBPs also face some challenges (see Burton and Schwarz 2013 and Drechsler 2017 for details), with (often prohibitively) high monitoring costs being a major barrier for a widespread implementation of RBPs (Burton and Schwarz 2013). In particular, monitoring mobile species is time consuming and therefore costly (Zabel et al. 2014). This largely explains why – with a few notable exceptions for large charismatic species (Zabel and Holm-Müller 2008; Suvantola 2013) – existing RBPs focus on plants as target species (e.g. de Sainte Marie 2014; Dunford 2016; Russi et al. 2016).

However, new monitoring technologies may offer opportunities for better and more comprehensive monitoring (Kühl et al. 2020, Schöttker et al. 2022, Wägele et al. 2022). Recently, autonomous recording units have rapidly gained traction in ecology and conservation, where they

are used to study animal behaviour and to monitor ecosystems and populations (Browning et al. 2017, Shonfield and Bayne 2017, Teixeira et al. 2019). Given the non-invasive nature of data collection using acoustic sensors for a wide range of sonant species and over extended periods of time (Pérez-Granados and Traba 2021), passive acoustic monitoring (hereafter referred to as acoustic monitoring for simplicity) provides several advantages over human observations in conventional monitoring schemes (Darras et al. 2019, Sugai et al. 2019). While current research focuses mainly on the technical aspects of acoustic monitoring (e.g. Darras et al. 2018), cost considerations are crucial when considering the application of monitoring approaches on a large scale.

To our knowledge, only Williams et al. (2018) and Darras et al. (2019) have included cost considerations in a comparison between acoustic monitoring and human observation. These two studies indicate a cost advantage of acoustic monitoring over human observation for monitoring rare species, but still too high costs for surveying an entire bird community. However, the recent development of low-cost autonomous recording units such as AudioMoths (Hill et al. 2019) questions this finding.

In this study, we address the opportunity presented by the development of low-cost AudioMoths with a particular focus on RBPs as a conservation policy instrument which requires species monitoring from a cost-perspective. We investigate whether AudioMoths can be a way to reduce monitoring costs and thus increase the attractiveness of RBPs for mobile sonant species such as farmland birds. We first develop a transferable general costing framework for comparing human observation and acoustic monitoring. Second, we briefly outline a hypothetical RBP scheme for the conservation of farmland birds in a hypothetical agricultural landscape and use cost data for the corresponding monitoring activities. We focus on farmland birds, because acoustic monitoring techniques are particularly advanced for birds (Darras et al. 2019, Kahl et al. 2019) and farmland bird species are often of high importance in the context of payments to farmers for conservation measures (Busch et al. 2020, Kamp et al. 2021, Staggenborg and Anthes 2022). We then derive monitoring scenarios in terms of the species and areas to be monitored, which determine the

number of audio devices and monitoring campaigns required, and compare the costs of human observation with those of acoustic monitoring using AudioMoths in combination with machine learning for data analysis. Finally, we perform sensitivity analyses, taking into account the uncertainty of certain parameter values and also possible future developments. This allows us to identify key factors that determine the cost relationship between human observation and acoustic monitoring.

2. Costing framework

2.1. General considerations

We consider a landscape where *N* parcels, each with area $a = b \cdot c$, with width *b* and length *c*, participate in a RBP scheme, such that the total area participating in the scheme is: $N \times a = A$. For both monitoring approaches, we assume an initial investment (audio recorders and battery charger for acoustic monitoring and binoculars and Bluetooth speakers for human observation) to account for the technical equipment required for both monitoring methods. A computer is required for both monitoring methods, but given its ubiquitous presence in administrations, we do not include it in the calculations. Some small amounts of data storage will be required for both monitoring methods (e.g. for GIS data, maps, reports and pictures), which we ignore. The large amount of audio data that needs to be stored in acoustic monitoring is what can cause differences in data storage costs between the methods. Here, we approximate the costs of data storage in acoustic monitoring by assuming that a new hard disc is purchased each year to store the following year's monitoring data.

We also consider monitoring costs (labour costs for observation or for audio recorder deployment), planning costs (labour costs for preparation and planning of the monitoring campaigns), analysis costs (essentially labour costs for both methods) and travel costs (including costs per km travelled by car and travel time costs). For the calculation of travel costs, we define an average travel distance between plots *d*. In the case of acoustic monitoring, there are also annual equipment costs (for replacing defective or missing audio recorders and for data storage). We

assume that for both approaches, the monitoring of the RBP scheme is carried out by employees of a local administration.

We take into account that different costs occur at different points in time (recurring annual costs, but also one-time investment at the beginning of the RBP monitoring) through discounting. To reflect time preferences of decision-makers in economics (typically a preference for current over future income), discounting is applied to future cash flows, which results in lower present values of these future flows (e.g. Frederick et al. 2002). We use the real discount rate *i* and calculate the present values (PV) of costs for acoustic monitoring (AM) and respectively human observation (HO) $C^{AM/HO}$ incurred over the whole programme duration *T*=5 as:

$$C^{AM/HO} = \sum_{t=0}^{T} C^{AM/HO}(t) * (1+i)^{-t}$$
(1)

where $C^{AM/HO}(t)$ are the annual expenses incurred in year t, and t_0 stands for the beginning of the programme period of a RBP scheme when only the one-time investment $C^{AM/HO}(t = 0)$ is incurred as costs. At the end of the program period (at t=5), the respective residual values of the one-time investments $RV^{AM/HO}(t = 5)$ are included as negative costs (i.e. positive cash positions) in the calculation of the annual costs $C^{AM/HO}(t = 5)$.

$$C^{AM/HO}(t=5) = C^{AM/HO}(t) - RV^{AM/HO}(t=5)$$
⁽²⁾

For both approaches in year t the total annual costs $C^{AM/HO}(t)$ are calculated as the sum of planning costs $C_P^{AM/HO}(t)$, monitoring costs $C_M^{AM/HO}(t)$, travel costs $C_T^{AM/HO}(t)$, analysis costs $C_A^{AM/HO}(t)$, and in the case of acoustic monitoring also equipment costs:

$$C^{AM/HO}(t) = C_P^{AM/HO}(t) + C_M^{AM/HO}(t) + C_T^{AM/HO}(t) + C_E^{AM}(t) + C_A^{AM/HO}(t)$$
(3)

2.2. Costs of human observation

Bluetooth speakers and professional binoculars (one for each observer) are the required onetime investments for human observation $C^{HO}(t = 0)$. Since binoculars (with price p^{BI}) have an expected lifetime u_{BI} of 8 years (University Regensburg, 2022) we include a residual value (based on straight-line depreciation) for them at the end of the 5-year program in the calculations. For speakers (with price p^{SP}), the residual value is considered and calculated in the same way:

$$RV^{HO}(t=5) = \frac{P^{BI}}{u_{BI}} * (u_{BI} - t) * n + \frac{P^{SP}}{u_{SP}} * (u_{SP} - t) * n$$
(4)

For calculating the planning costs $C_P^{HO}(t)$ we consider a certain preparation and planning time in hours per ha (t_{prep}^{HO}) :

$$C_P^{HO}(t) = w_0(t) * (t_{prep}^{HO} * A)$$
(5)

The monitoring costs are calculated as

$$C_M^{HO}(t) = w_0(t) * (t_{mon}^{HO} * A) * nc^{HO}$$
(6)

with $w_0(t)$ being the hourly wage for monitoring personnel in year t, t_{mon}^{HO} the monitoring time spent on actual observation per ha, and nc^{HO} the number of monitoring campaigns (number of times the whole area has to be monitored) per year.

One monitoring campaign might require more than one consecutive observation of all plots, nr^{HO} being the number of travel rounds per ornithologist per campaign. Travel costs are calculated based on the travel time t_r^{HO} and travel distance s_r^{HO} per travel round to the observation area per ornithologist (over all ornithologists *n*) and the travel costs per km *f*.

$$C_T^{HO}(t) = n * w_0(t) * t_r^{HO} * nc^{HO} * nr^{HO} + n * f * s_r^{HO} * nc^{HO} * nr^{HO}$$
(7)

Analysis costs in human observation include the time for follow up analysis and organisation of the findings (t_{ana}^{HO}) and time for preparation of maps of breeding areas and a final report to document the results of the monitoring (t_{map}^{HO}) .

$$C_A^{HO}(t) = w_0(t) * (t_{ana}^{HO} + t_{map}^{HO}) * A$$
(8)

2.3. Costs of acoustic monitoring

Based on the number of audio recorders per plot AM^{AM} (given the generality of the framework, we use here the more general term audio recorder instead of AudioMoth) and the number of plots *N* the total number of recorders required for acoustic monitoring AM^{all} is calculated as:

$$AM^{all} = (AM^{AM} * N) * \frac{1}{a} (q = 1, 2, 3, ...)$$
(9)

where 1/q indicates the fraction of plots that are monitored simultaneously. If all participating plots are monitored simultaneously (q=1), this requires purchasing audio recorders for all plots. If, for example, q=2, first only half of the plots are monitored, then the audio recorders are removed and deployed on the rest of the plots, thus saving on initial investment in audio recorders.

The one-time investment for acoustic monitoring $C^{AM}(t = 0)$ includes the purchase of audio recorders, the related auxiliary equipment (memory cards and rechargeable batteries), external data storage, and a battery charger. Similarly to binoculars, audio recorders can in general be used longer than for 5 years. Therefore, we include a residual value (based on straight-line depreciation) at the end of the 5-year program in the calculations. We assume 6 years lifetime u_{AM} of audio recorders¹, and, considering also the yearly replacement rate of recorders due to theft or defects r^{AM} , we calculate a residual value for recorders at the end of the program period:

$$RV_{AM}^{AM}(t=5) = \sum_{t=1}^{4} [r^{AM}(t) * \frac{p^{AM}}{u_{AM}} * \frac{AM^{AM} \cdot N}{q} * (u_{AM} - t)] + \left(1 - 4r^{AM}(t) * \frac{p^{AM}}{u_{AM}} * \frac{AM^{AM} \cdot N}{q}\right) * (u_{AM} - t) (10)$$

where P^{AM} are the purchase costs of a recorder (including directly required equipment such as batteries and memory storage card). Replacement of recorders is assumed to take place at the end of the year (for t=1,...,4), except when the scheme ends (t=5). Since the useful lifetime of a battery charger is 10 years¹ a residual value is calculated for it as well, similarly to equation 4.

For preparation and planning, we assume a fixed time effort per monitoring campaign and ha t_{prep}^{AM} plus certain preparation time per recorder and campaign t_{prepAM}^{AM} . Thus, the planning costs equal:

$$C_P^{AM}(t) = w_0(t) * (t_{prep}^{AM} * A + t_{prepAM}^{AM} * AM^{AM} * N) * nc^{AM}$$
(11)

The monitoring costs depend largely on the number of audio recorders per plot AM^{AM} , the number of plots N, the time required to install and remove a recorder in the field ($t_{install}^{AM}$ and t_{remove}^{AM}), and on the number of monitoring campaigns nc^{AM} .

$$C_M^{AM}(t) = w_0(t) * \left(\left(t_{install}^{AM} + t_{remove}^{AM} \right) * AM^{AM} * N \right) * nc^{AM}$$
(12)

Travel costs are calculated similarly to human observation, by taking into account the travel time per travel round t_r^{AM} , the corresponding travel distance s_r^{AM} and the fact that two travel rounds are always required per campaign – one for deployment and one for removal of recorders ($nr^{AM}=2$). If a fraction of plots are monitored simultaneously (q > 1), consecutive monitoring is required which leads to a higher number of field trips per campaign ($nr^{AM} * q$).

$$C_T^{AM}(t) = n * w_0(t) * (t_r^{AM} * nc^{AM} * nr^{AM} * q) + n * f * (s_r^{AM} * nc^{AM} * nr^{AM} * q)$$
(13)

The equipment costs account for yearly replacement rate $r^{AM}(t)$ of defective or missing recorders and also for the battery charging costs *B*. Here, we also include the costs for data storage devices and assume that each year a new hard disc with price p_{SSD}^{AM} is purchased to store the next year's monitoring data. Thus, these costs occur in t = 1 to t = 4; the hard disc for year 1 is included in the one-time investment in t = 0.

$$C_{E}^{AM}(t) = r^{AM}(t) * P^{AM} * \frac{AM^{AM} \cdot N}{q} + B * nc^{AM} * AM^{AM} * N + p_{SSD}^{AM}, \quad for t = 1, ..., 4 \quad (14)$$

$$C_{E}^{AM}(t = 5) = r^{AM}(t) * P^{AM} * \frac{AM^{AM} \cdot N}{q} + B * nc^{AM} * AM^{AM} * N \quad (15)$$

The analysis costs for acoustic monitoring include, as for human observation, the time effort in h/ha for preparation of maps and final report (t_{map}^{AM}) and the time effort of the ornithologist/s for the validation of the bird recognition from the software per recorder (t_V^{AM}) .

$$C_A^{AM}(t) = w_0(t) * ((t_{map}^{AM}) * A + t_V^{AM} * AM^{AM} * N * nc^{AM})$$
(16)

3. Application of costing framework

3.1. Hypothetical case study

Our case study in the context of a hypothetical RBP scheme is inspired by our current research on habitat preferences and resource use of farmland birds using acoustic monitoring in the floodplain of the river Mulde in Saxony, Germany. The study area is largely characterised by grassland for grazing and is designated as a Natura 2000 Special Protection Area for birds (SMEKUL 2022). Due to this research we have detailed knowledge of the process of acoustic monitoring which is necessary as a basis for the cost assessment.

We assume that a land user can apply for a RBP with a square plot of size 4 ha (200 m x 200 m) so that an AudioMoth can be placed in the middle of the plot and thus cover only the land user's area. This assumption is consistent with the recommended spacing between audio recording units for bird monitoring of 250 m (cf. Abrahams 2018) and the recommended spacing between routes for human observation of 100 m (Südbeck et al. 2005). Costs are always considered per 100 ha of investigation area, which is a reference value used as ecological area sample in standards for bird observation in Germany (BfN 2022). In the base case scenarios, we set the total participating area in the hypothetical RBP scheme to 100 ha. An overview of all cost parameters and their values is given in Table A. 1 in the Appendix.

For our analysis, we assume that the participating grassland area is located between two points (base point and mid route point in Figure 1), and that we have a starting point for the observers, which is 30 km away from the base point from where the observations start. This somehow reflects a situation where a local or regional nature conservation administration is located in a provincial town and is responsible for the surrounding areas. We set 2 km as the average distance between each two plots and between the base point and its nearest two plots. Since the total participating area is fixed at 100 ha in the base case, the number of participating plots decreases as the size per plot increases, and so does the travel time between plots (due to the fixed average distance between each two plots). In the case of human observation, we assume that each one of two ornithologists

covers half of the monitoring plots and the corresponding travel route (from the base point to the mid-route point).



Figure 1 A hypothetical scenario for the participating area in a RBP scheme for bird conservation with plots distributed along two main roads. The different colors indicate how monitoring plots can be split between two ornithologists.

For our scenarios, we have selected a set of four farmland bird species that are of special concern in the context of agrobiodiversity decline (Busch et al. 2020, Kamp et al. 2020). We chose the whinchat (*Saxicola rubetra*) and the ortolan bunting (*Emberiza hortulana*) as diurnal farmland species that are both migratory and best surveyed in May and June (within the first six hours after sunrise). The grey partridge (*Perdix perdix*) and the common quail (*Coturnix coturnix*) were selected as species with nocturnal peaks of vocal activity that need to be monitored during a very narrow time window (at and shortly after sunset) in March and June, respectively. Given their different monitoring requirements this set of species allows us to compare the costs of the two monitoring approaches under three different scenarios: (1) *daytime monitoring* for the whinchat and ortolan bunting, (2) *nighttime monitoring* for the partridge (March) and quail (June), and (3) *day-and-nighttime monitoring* for all four species. Given their importance for nature conservation, the selected species can be target species for a RBP scheme and farmers can improve their habitat conditions by establishing flowering areas, fallow strips, linear structures such as hedges (Laux et al. 2017, NLWKN, 2011), or avian-friendly mowing and grazing regimes (Johst et al. 2015).

Song activity of whinchat and ortolan bunting is mostly indicative for territory establishment and breeding, especially from early/mid-May to mid/late June (Südbeck et al. 2005). We can therefore define the confirmed presence of singing activity in May and June as evidence of an active territory. For the grey partridge, territorial males' vocal activity peaks between early March and early April, while for the common quail it occurs in early to mid-June (and again in July, Südbeck et al. 2005). For a bird to be considered as territorial in German bird monitoring schemes, it must be detected at least twice (at least seven days apart) at the same site during the breeding season (Südbeck et al. 2005). We consider this two-time detection as a sufficient indicator for breeding in both human observation and acoustic monitoring resulting in a RBP to the farmer. Based on the above considerations, we propose a preliminary schedule for the three monitoring scenarios in Table 1.

Table 1. Main scenarios and corresponding monitoring schedules for the hypothetical RBP scheme (base case).

Species monitoring scenarios	Human observation schedule	Acoustic monitoring schedule ^a			
Daytime monitoring	Three campaigns with one day	Two campaigns (one in May			
(whinchat & ortolan bunting)	round each with two	and one in June) each including			
	ornithologists (from mid-May	two seven-day ^b rounds.			
	until mid-June).				
Nighttime monitoring	Four campaigns consisting of	Two campaigns (one in March			
(partridge & quail)	two rounds each with two	and one in June) each including			
	ornithologists (two nights at	two seven-day ^b monitoring			
	least seven days apart in March	rounds.			
	(partridge) and two nights at				
	least 7 days apart in June				
	(quail)).				
Day+nighttime monitoring	Three day rounds and same	Three campaigns each			
(all four species)	number of night rounds as for	including two seven-day ^b			
	nighttime monitoring, except	monitoring rounds: One only			
	that one nighttime observation	nighttime-monitoring campaign			
	in June is done on one of the	in March; and two day-and-			
	three days with daytime	nighttime-monitoring			
	monitoring.	campaigns: one in May and one			
		mid/end of June.			

^aSince acoustic monitoring in our scenarios results in a manageable time effort per day, we assume that only one ornithologist is involved in deploying the devices, whereas human observation is carried out by two ornithologists. ^bSeven-day round refers to the time the AudioMoths remain at the field during each monitoring round. *Daytime monitoring* for the diurnal species could last up to 6 hours per day, from 5 to 11 a.m. (including observation and travel between plots). For partridge and quail, *nighttime monitoring* would be required, which could only last up to 1.5 hours per night (including observation and travel between plots) (Südbeck et al. 2005). This time restriction is especially important for human observation, as the observations have to be extended to more days/nights and/or more observers, depending on the size of the monitoring area and the travel time between plots. With a total monitoring area of 100 ha and the other assumptions made, the *nighttime* observations have to be divided between two ornithologists and two nights.

3.2. Sensitivity analysis

To gain a better understanding of the relative costs of the two monitoring approaches and the factors on which they depend, we conducted sensitivity analyses. For some parameters (discount rate, travel distance between plots, different replacement rates of AudioMoths per year due to damage from rain or theft, time spent in human observation per ha and deployment time of AudioMoths per plot), sensitivity analysis is straightforward. Here, the values of the respective parameters are changed to a lower or a higher value, while the remaining parameters are fixed at their base case values. However, the variation of other parameters leads to changes in related parameter, which requires some explanation. The numerical values of parameters for the sensitivity analysis are presented in Table 2.

We assume that one AudioMoth can cover up to 5 ha square-shaped monitoring area. Thus, the *eligible plot area* influences the number of AudioMoths needed for a total participating area of 100 ha (larger plots lead to overall fewer recorders). With smaller plot area the number of plots per 100 ha and the total travel time between plots increases (as we keep the distance between plots fixed), which corresponds to simulating a more dispersed participating area. We also include a low, base case and high value for the *total participating area* in the RBP scheme by keeping the eligible plot size fixed at the base case value and halving or doubling the number of participating patches, as this influences the required number of AudioMoths and the monitoring and travel costs. The total

number of AudioMoths purchased depends also on the *fraction of plots monitored simultaneously* (1/q) and therefore the value of q is also part of the sensitivity analysis.

In addition, we account for potentially lower analysis costs in the future due to further development of machine learning for bird call recognition and a related decrease in the false positive rate of these methods, which would lead to lower validation effort by ornithologists and thus lower data *analysis costs*. As the technology continues to improve, we do not expect the cost of this parameter to increase in the future.

Scenarios	low	base case	high	
Discount rate				
discount rate (<i>i</i>)	1%	3%	5%	
Travel distance between plots (how scattered are	e plots)			
travel distance between plots in km (d)	1	2	5 ^a	
AudioMoths replacement rate				
replacement rate in % per year (r^{AM})	2	5	10	
Observation time per ha/ Deployment time per p	olot			
Human observation: monitoring hours per ha				
(t_{mon}^{HO})	0.035	0.045	0.05 ^a	
Acoustic monitoring: time spent for deployment				
and removal	10 min + 5 min	15 min + 10 min	20 min + 15 min	
$(t_{install}^{AM} + t_{remove}^{AM})$				
Eligible plot area (\rightarrow number of plots and Audio	Moths per 100 ha	a)		
size of monitoring plots in ha (a)	2	4	5	
Number of AudioMoths/ 100ha depends on the				
size of plots and the fraction of plots monitored	(25)	(13)	(10)	
simultaneously $(1/q, here q=2)$.				
Total participating area				
size of total grassland area to be monitored in ha (A	.) 50	100	200 ^a	
Fraction of plots monitored simultaneously (>	number of Audio	Moths per 100 ha)		
fraction of plots monitored simultaneously $(1/q)$	1	1/2	1/3	
Number of AM/ 100ha depends on the size of				
plots (here $a=4$ ha) and the fraction of plots	(25)	(13)	(9)	
monitored simultaneously.				
Analysis costs for acoustic monitoring				
analysis cost multiplier	0.66	1		

Table 2 Scenarios for sensitivity analysis.

^a The high-value scenarios for distance between plots, total participating area and monitoring time result in three rounds of human observation per nighttime-monitoring campaign with two ornithologists, while in the base and low cases only two night rounds are required. Since two ornithologists are required for human observation in the sensitivity analysis with smaller plots, we assume two ornithologists for all human observation scenarios for the sake of comparability.

4. Results

4.1.Base case

We compare the base case for the three main scenarios (Figure 2). The costs of acoustic monitoring are higher than the costs of human observation only in the base case scenario for *daytime monitoring*, which requires the least human effort and only three trips to the field. By contrast, human observation is more expensive in the base case of *nighttime monitoring* and *day-and-nighttime monitoring*. This is mainly due to the higher travel costs and, in the *nighttime monitoring* scenario, also to the higher monitoring costs. In general, the planning and preparation costs for acoustic monitoring are higher because of the considerable time required to prepare the AudioMoths for deployment.



Figure 2 Comparison of discounted and aggregated costs of human observation (HO) and acoustic monitoring (AM) for the different scenarios using the base case values.

4.2. Sensitivity analyses

Human observation is always less costly in the *daytime monitoring* scenario, but almost always more costly in the *nighttime monitoring* and *day-and-nighttime monitoring* scenarios (Table A. 2 in the Appendix), except for the case of small plot sizes (2 ha), which requires a large number of recorders and leads to long travel times between plots resulting in high travel costs. With a smaller

average distance between plots (1 km) the travel costs decrease and human observation becomes less costly than acoustic monitoring at *night*, and for the *day-and-nighttime* scenario the two methods are almost equally costly. For more dispersed plots, i.e. large distances between plots (5 km), human observation is only more cost-effective for *daytime monitoring*, because the corresponding increase in travel costs is much more pronounced in the other two more timeconsuming scenarios. This is due to the short time window for *nighttime* observation, which requires more field trips, and/or more observers. In our base case scenario for *nighttime monitoring*, the number of field trips is the same for both methods (since acoustic monitoring is done simultaneously only on half of the plots), but acoustic monitoring has a cost advantage because it requires only one expert, whereas human observation requires two observers.

As the time spent on monitoring is a significant cost factor for human observation, with less observation time (3.5 h/ 100 ha) human observation has a cost advantage in all monitoring scenarios. However, if we compare less time spent on human observation of plots with less time spent on acoustic monitoring (where less time is spent in deployment and removal per AudioMoth), acoustic monitoring again has an advantage in *nighttime* and *day-and-nighttime monitoring*.

If only a third of the plots is monitored simultaneously (*q*=3), acoustic monitoring loses its cost advantage in *day-and-nighttime monitoring* due to a high rise in travel costs associated with numerous deployment rounds. In this case the costs of both methods become almost equal. It turns out that for 100 ha participating area and 4 ha plots (our base case values), acoustic monitoring with simultaneous deployment of AudioMoths on all plots is less costly than monitoring only a fraction of the plots simultaneously in all monitoring scenarios (Table A. 2 in the Appendix), because the additional travel costs for deployment and removal outweigh the cost savings through lower investment in recorders. In all other cases, acoustic monitoring has an advantage for *nighttime and day-and-nighttime monitoring*. Even with a smaller total participating area (50 ha), i.e. despite lower travel costs and lower preparation and analysis costs, human observation is more expensive than acoustic monitoring at *night* or during the *day and night*.

An interesting insight is how the costs of the methods diverge based on the scenarios (Figure 3). For a smaller participating area, the cost difference between the two methods is much greater for *daytime monitoring* than for *nighttime* or *day-and-nighttime monitoring*, and the cost advantage of human observation for *daytime monitoring* is much greater than its cost disadvantage for the other two scenarios (where the cost difference per ha is very small). For a participating area of 200 ha, the cost advantage of acoustic monitoring for *nighttime* and *day-and-nighttime monitoring* becomes much more evident. This is mainly due to the small time window for *nighttime* observation, which requires more monitoring rounds per campaign for larger plots, resulting in higher travel and monitoring costs.



Figure 3 Present values of costs of human observation (HO) and acoustic monitoring (AM) per ha depending on the size of total participating area for all scenarios with base values.

In all scenarios, the cost per hectare for acoustic monitoring decreases as the participating area increases, whereas for human observation, a significant decrease in cost per hectare is only observed in the base case of 100 ha compared to an area of 50 ha. This result suggests that acoustic monitoring can be more easily scaled up to cover a larger area compared to human observation.

Changing the discount rate to 1% or 5% has no significant effect on the cost comparison, since the present values change similarly for both methods. Varying the replacement rate of AudioMoths per year also results in only minor changes in cost, as does the future decrease in analysis costs due to technological development.

5. Discussion

While passive acoustic monitoring is increasingly applied in ecology and conservation, and increasingly more studies are being conducted on the topic, the idea of using it to facilitate monitoring in RBP schemes is new. We believe this may be a way to reduce monitoring costs for mobile species such as birds, and make RBPs a promising alternative to action-based payments for a wide range of species. To explore the cost-reducing potential of acoustic monitoring, we developed a general costing framework for acoustic monitoring versus human observation in the context of RBPs and applied it to a hypothetical RBP scheme. We proposed three monitoring scenarios for species with different vocal activity patterns: *daytime monitoring* for whinchat and ortolan bunting, *nighttime monitoring* for partridge and quail, and *day-and-nighttime monitoring* for all four species. Monitoring is supposed to be conducted either through human observation or using AudioMoths - the currently least-cost bioacoustics devices on the market. We are especially interested in factors that affect the cost comparison of the methods and conducted a sensitivity analyses to identify them.

In our case study RBP scheme human observation is always less costly for *daytime monitoring*. By contrast, in the scenarios of *nighttime monitoring* and *day-and-nighttime monitoring*, which both include *nighttime* monitoring in a narrow time window and thus lead to a high human effort, acoustic monitoring has a cost advantage in most cases. Thus, acoustic monitoring may be beneficial when observing rare species that are difficult to detect and therefore require more field trips, such as the partridge. This latter result is consistent with the findings of Darras et al. (2019) and Williams et al. (2018). Williams et al. (2018) show a cost advantage of acoustic monitoring over human observation for monitoring rare and cryptic bird species. Darras et al. (2019) confirm a cost advantage of acoustic monitoring for rare species and also for covering a large number of monitoring sites with only short monitoring time per site and a small number of audio recorders,

but point to the higher costs of acoustic monitoring when surveying an entire bird community. However, they assume a high price for audio recorders and do not take into account residual values.

Our results suggest that with the deployment of low-cost devices such as AudioMoths, the application of acoustic monitoring in RBP schemes seems more feasible. AudioMoths could allow a greater number of target species to be covered in RBP schemes. Monitoring a larger set of target bird species with different breeding periods requires more recurring visits under human-led surveys. Compared to our scenario for *daytime monitoring*, more recurrent visits in human observation could end-up being costlier than acoustic monitoring. The prerequisite for this is, that the duration over which audio recorders run comprises more than two survey rounds carried out by humans (which is the case with AudioMoths, which in our experience have a battery life of about two weeks). Acoustic monitoring may also provide an opportunity to reduce the monitoring costs for other mobile sonant species such as bats or certain insects, e.g. orthopterans, and thus increase the cost-effectiveness of RBPs targeted at such species.

We find that AudioMoths especially provide cost advantages when a RBP scheme involving *nighttime monitoring* or *day-and-nighttime monitoring* is to be implemented over larger areas. In these scenarios doubling the area covered from 100 ha to 200 ha leads to about 100% higher total monitoring costs (i.e. constant cost per ha) for human observation due to the short time window for *nighttime* observation, whereas for acoustic monitoring the total costs increase only by about 60-65% (and the cost per ha declines by about 18%). However, implementing RBPs with acoustic monitoring in a large region would still result in high overall monitoring costs. A possible way to reduce these costs could be to involve the farmers in the monitoring process, so that they can perform self-monitoring and forward the collected recordings to the RBP scheme administration. However, this would require some mechanisms to ensure truthful reporting by farmers.

While the focus of our analysis was on costs, there are currently also technical limitations for the implementation of passive acoustic monitoring in RPB schemes. The main current limitation of AudioMoths and similar devices is the duration they can stay in the field. Depending on the model and recording settings, their deployment can last anywhere between one and four weeks (Darras et al. 2019). Power and data storage are the two limiting aspects for their runtime. Hence costs will decrease as human labour (especially travel and service costs) will drop with longer runtime. It would be beneficial if technological development moves in this direction to further reduce key costs.

The probability of malfunction of audio recorders deployed in the field also needs to be further minimized. Currently, most devices are unable to provide feedback if they are not set up correctly, nor do they provide status reports on battery life. This lack of reporting capabilities could lead to prolongation of surveys after a malfunction has been detected or even prevent an assessment of the presence of a target species, which, however, is necessary for an RBP scheme. To minimize these negative effects through malfunction we call for developments that enable the use of wireless networks to send regular status reports so that potential intervention is possible during a survey rather than post-hoc. To this end, it is important to note that such capabilities may require different types of (possibly more expensive) audio recorders and add further costs, e.g. for wireless network access. Another limitation for the practical implementation of acoustic monitoring in RBP schemes may be legal restrictions associated with such applications. In addition, farmer acceptance of the use of acoustic monitoring in their fields needs to be investigated and is a relevant topic for further research.

We conclude that acoustic monitoring has enormous potential for the development of innovative RBP schemes for mobile species. Given the technological, logistical and administrative limitations we still face today, it will probably take some more time to realize the full potential of this approach. However, policy makers should monitor relevant technological, cost and societal developments and initiate pilot studies to prepare themselves for the implementation of RBP schemes that rely on passive acoustic monitoring to control the presence of target species. This could be one step in integrating biodiversity conservation concerns in the advancing digitalisation in agriculture and agricultural policy (Ehlers et al. 2022).

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Appendix A

Table A. 1 Parameters in the costing framework for human observation versus acoustic monitoring (all assumptions for duration of campaigns and preparation are based on own experience, sources of other assumed values are found in the last column).

General parameters		Base case value	Source/Note
Т	years of AES duration for present value calculation	5	AES in the EU normally last 5 years
R	discount rate for present value calculation	0.03	Bünger and Matthey (2018)
N	ornithologists/technicians involved in acoustic monitoring or human observation	2 in HO 1 in AM	
cp _L	labour cost multiplier (reflecting increase per year)	1.0089	based on the average increase in real wages by 0.89% in Germany since 2015 (Destatis 2022)
w _o	hourly wage for human observation and acoustic monitoring analysis personnel (ornithologist)	$48.58 \frac{\epsilon}{h}$	with Master education and after 3 years working in public administration (Entgeltgruppe 13, Stufe 3 for Germany: TV-L Stufen: https://www.oeffentlichen-dienst.de/tv-l.html)
w_T^{AM}	hourly wage for deployment of AM (technical staff)	$42.81 \frac{\epsilon}{h}$	(see University Regensburg (2022) for the full calculation of personnel costs). We use the full salary + yearly bonus + employer personnel costs (payroll taxes) as a basis for hourly wage calculation, since all these costs have to be covered by a private engineer/ firm by the revenue from service contracts. The basis for the salary amount is publicly available information on salaries in public administration: https://www.lsf.sachsen.de/entgelttabellen-4485.html
Α	total monitoring area in ha (predefined)	100 ha	ecological area sample in Germany, for better comparability
а	area in ha per plot	4 ha	matches area requirement of species, especially partridge (as in Flade 1994)
Ν	number of plots	N = A/a	varies with species scenarios
b	width of plot in m (predefined)	200 m	100 m is the recommended distance between routes for human observation (Südbeck et al. 2005).
С	length of plots in m	200 m	Sensitivity analysis with 100 m and 250 m.
f	travel costs per km car travel	$0.30 \frac{\epsilon}{km}$	Federal Travel Expenses Act: <u>http://www.gesetze-im-</u> internet.de/brkg_2005/BJNR141810005.html
S _{SB}	travel distance for ornithologist from start to base in km	30 km	start is the place where ornithologist comes from/works, base is the nearest town to the observation area
t _{SB}	travel time for ornithologist to base	0.5 <i>h</i>	For travel between start and base and base and midpoint of route without parallel monitoring we assume 60 km/h

General parameters		Base case value	Source/Note			
v _M	car travel velocity in km/h between monitoring plots	40 km/h	Some plots could possibly be reached easily by public roads and 60 km/h, other plots could only be reached off-road, e.g. with 20 km/h. Therefore we set as average velocity 40 km/h.			
v _R	car travel velocity in km/h between start and base; base and midpoint route	60 km/h	For only travelling, without observation or AM deployment, we assume that public road network is used with 60 km/h.			
d	mean travel distance b/n each two plots and from base to plot 1 and plot N	2 <i>km</i>	1km and 5 km as sensitivity analysis			
s	total travel distance to reach all plots from base and back in km	s = d * (N + 1)	varies with species scenarios			
<i>ts</i> 60	total travel time to reach all plots from base and back in h with 60 km/h	$t_{s60} = s / v_R$	varies with area scenarios			
<i>t</i> _{s40}	ts=Total travel time to reach all plots from base with mean area in h by car with 40 km/h	$t_{s40} = s / v_M$	varies with area scenarios (for simplification the length of the car route between the base and the midpoint is set identical to the length of the corresponding route between plots)			
Human observ	ation parameters only					
nc ^{HO}	number of observation campaigns	species scenario specific	assumptions based on Südbeck et al. 2005 (see section 3.1)			
nr ^{HO}	number of observation rounds per campaign	species scenario specific	This value depends on the length of the travel route, the observed area and on the number of employees involved. With the assumptions made here, day campaigns with two observers are on one day, nighttime campaigns have to be on 2 or 3 nights (see section 3.1).			
t ^{HO} mon	time necessary to monitor a hectare of grassland by human observation (4.5h/100ha)	$0.045 \frac{h}{ha}$	based on own experience.			
t_{day}^{HO}	time available for observation and travel between plots per day	6 h	from around 5:00 to 11 a.m., 6 h at most per day for monitoring and travel between plots (Südbeck et al. 2005)			
t_{night}^{HO}	time available for observation and travel between plots per night	1.5 <i>h</i>	for nighttime observation only up to 1.5 h/ night around sunset (Südbeck et al. 2005)			
t ^{HO} prep	preparation time (2h/100ha)	$0.02 \frac{h}{ha}$	twice this value for day+nighttime monitoring assumed			
t ^{HO} ana	post processing and analysis time (2h/100ha)	$0.02 \frac{h}{ha}$	twice this value for day+nighttime monitoring assumed			
t_{map}^{HO}	time for follow-up and preparing the final report and maps	$0.2 \frac{h}{ha}$	(20 h/100ha)			
P ^{HO} _{aux}	one-time costs for auxiliary equipment per 5 year AES	138€	one-time every 5 years: a battery charger*78 EUR + an external 2TB SSD hard disc*60 EUR			
P^{BI}	purchase cost/price of binoculars	1500€	https://www.astroshop.de/fernglaeser/20/m,ZEISS/a,Fernglaeser.Leistung.Verg roesserung=10-12?page=1			

General parameters		Base case value	Source/Note		
u _{BI}	useful lifetimeof binoculars	8 years	asset classification DFG, University Regesburg https://www.uni- regensburg.de/assets/forschung/forschungsfoerderung/dfg- schluessel_nutzungsdauer.pdf		
P ^{SP}	purchase price of bluetooth speakers	40 €	https://www.conrad.de/de/p/jbl-go-3-bluetooth-lautsprecher-wasserfest- staubfest-schwarz-2315258.html		
u _{SP}	useful lifetimeof speakers	10 years	asset classification DFG, University Regesburg https://www.uni- regensburg.de/assets/forschung/forschungsfoerderung/dfg- schluessel_nutzungsdauer.pdf		
Acoustic monit	toring parameters only				
AM ^{AM}	number of AM per plot	1	This number depends on the geometry of the plots and assumptions on the coverage radius of AM.		
q	number of rounds (q) per monitoring campaign depending on fraction (1/q) of plots monitored simultaneously	2	half of plots monitored simultaneously		
nc ^{AM}	number of monitoring campaigns	species scenario specific	assumptions based on Südbeck et al. 2005 (see section 3.1)		
nr ^{AM}	number of deployment travels to plots per campaign	2	Each campaign requires two travel rounds: one for installation and one for removal of AM.		
t ^{AM} prep	one-time preparation for deployment per campaign	$0.0033 \frac{h}{ha}$	(20 min/100 ha)		
t ^{AM} prepAM	preparation time per AM and campaign	$0.08 \frac{h}{AM}$	(5 min/AM)		
t ^{AM} install	time for installation per AM	$0.25 \frac{h}{AM}$	(15 min/AM)		
t ^{AM} remove	time for removal per AM	$0.17 \frac{h}{AM}$	(10 min/AM)		
t_{map}^{AM}	time for follow-up and preparing the final report and maps	$0.2 \frac{h}{ha}$	(20 h/100ha)		
t_V^{AM}	time needed by ornithologist for validation of recordings/ AM in h per campaign	$0.08 \frac{h}{AM}$	(5 min/AM)		
$r^{AM}(t)$	replacement rate of AM per year	$5\frac{\%}{a}$	based on own experience		
В	battery costs per AM per campaign (15 days)	$0.0015 \frac{\epsilon}{AM}$	(resulting from charging 3 AA batteries)		
u _{AM}	useful lifetimeof AM	6 years	asset classification DFG, , University Regesburg: https://www.uni- regensburg.de/assets/forschung/forschungsfoerderung/dfg- schluessel_nutzungsdauer.pdf		

General parameters		Base case value	Source/Note			
p ^{AM} SSD	price of external SSD drive for data storage	species scenario specific	66 € for 2 TB for daytime monitoring; 47 € for 1 TB for nighttime monitoring and 80 € for 3 TB for day-and-nighttime monitoring (amazon.de)			
P ^{AM}	purchasing costs per AM + directly needed equipment in \in , including:	159.31 € <i>AM</i>	94 \$ or 95 €/AM (10 pack) + 39.9\$ or 40 €/ waterproof case + a microSD memory card of 64GB*17 € + 3*AA rechargeable Ni-Mh batteries*2.25€/battery (price sources given below)			
p_{AM}^{AM}	price of AM	95 $\frac{\epsilon}{AM}$	www.labmaker.org, 22.09.22			
p ^{AM} _{case}	price of waterproof case	$40 \frac{\epsilon}{AM}$	www.labmaker.org, 22.09.22			
p_{card}^{AM}	price of microSD memory card 64GB	17 $\frac{\epsilon}{AM}$	<u>www.conrad.de</u> , 22.09.22			
p_{bat}^{AM}	price of a AA rechargeable Ni-Mh battery	2.25 €	per AM three AA rechargeable Ni-Mh batteries needed (*2.25 €/battery - amazon.de, 22.09.22)			

	SCENARIOS						
Present values (PV) of costs for following sensitivity analyses:	Daytime AM	Daytime HO	Nighttime AM	Nighttime HO	Day-and- nighttime AM	Day-and- nighttime HO	
PV - with $t_{mon}^{HO} = 3.5$ h/ha in HO, compared with 5 min less for AM deployment and removal	17,811	13,511	17,740	19,291	22,817	25,332	
PV - with $t_{mon}^{HO} = 5h/ha$ in HO, compared with 5 min more for AM deployment and removal	21,659	14,530	21,588	25,948	28,589	32,930	
NPV TC – with $a= 2$ ha plots	31,564	16,017	31,493	23,851	42,051	32,551	
PV - with a = 5 ha plots	17,154	13,825	17,083	19,466	22,558	26,226	
PV - with a= 4 ha, q=2, with 4.5h/ha in HO - BASE CASE	19,735	14,190	19,664	21,374	25,703	27,946	
PV - q=1, with all AM	19,201		19,131		23,979		
PV - q=3, with a third of AM	21,038		20,967		27,953		
PV - replacement rate $r^{AM} = 2\%$	19,551		19,480		25,519		
PV - replacement rate $r^{AM} = 10\%$	20,041		19,971		26,010		
PV - 5 min/AM less deployment time	17,811		17,740		22,817		
PV - 5 min/AM more deployment time	21,659		21,588		28,589		
PV - Future technol progress: 33% less analysis costs	19,401		19,330		25,369		
PV - with A= 50 ha participating area	13,820	9,032	13,749	14,227	17,529	18,158	
PV - with A= 200 ha participating area	31,790	25,185	31,720	42,915	42,391	55,535	
PV - with d=1	18,543	13,240	18,473	18,297	23,916	24,255	
PV - with d=5	23,610	17,040	23,539	36,427	31,516	45,155	
PV - with r=0.01	20,422	14,904	20,348	22,519	26,789	29,486	
PV - with r=0.05	18,370	13,539	18,302	20,328	24,046	26,540	

Note: Values in bold type indicate that human observation is cheaper, whereas bold and italics means that acoustic monitoring has a cost advantage. If a cell is empty, then the sensitivity analysis influences only the costs of acoustic monitoring and the comparison should be to the costs of the base case human observation for the corresponding scenario.