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# CHARACTERIZATION OF THE GENERALIZED TOP-CHOICE ASSUMPTION (SMITH) SET

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ABSTRACT. In this paper, I give a characterization of the Generalized Top-Choice Assumption set of a binary relation in terms of choice from minimal negative consistent superrelations. This result provides a characterization of Schwartz's set in tournaments.

*JEL classification:* D60, D71.

*Key words:* Negative Consistency, Generalized Top-Choice Assumption (Smith) set, Generalized Optimal-Choice Axiom (Schwartz) set.

## 1. INTRODUCTION

A fundamental problem of rational choice theory is to determine whether a choice is optimal relative to some preference relation or not. So a rational agent who knows his preference relation, chooses the maximal elements according to this relation, in every feasible set presented for choice. In other words, the optimal choice set of a choice process consists of the maximal alternatives in the feasible set according to the viewpoint of a binary relation. However, when will the set of optimal choices be non-empty? If the feasible set is finite and the binary relation is acyclic, then the set of optimal choices is always non-empty; When the optimal choice set is empty<sup>1</sup>, the crucial question which has been arisen, is what to count as a choice. That is, what sets of alternatives may be considered as reasonable solutions? To answer this question, several methods (solution theories) for constructing non-empty choice sets have been proposed. Such a solution is the *Generalized Top-Choice Assumption set (GETCHA set)*, introduced by Schwartz in [4], which is a generalization of the optimal choice set. Smith in [5] introduces a generalization of Condorcet Criterion that is satisfied when pairwise election are based on simple majority choices. He uses the notion of *dominant set*, that is, any candidate in this set is collectively preferred to any candidate not into this set. But Smith does not discuss the idea of a smallest dominant set. Fishburn in [3] narrows Smith's generalization of the Condorcet Criterion to the smallest dominant set and calls it *Smith's Condorcet Principle*. Schwartz in [4] discusses the Smith's Condorcet Principle as a possible standard for optimal collective choice and he call it *GETCHA*.

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<sup>1</sup>This problem is common in the analysis of pairwise majority voting, in the choice of a winning sport team, in the aggregation of multiple choice criteria, in committee selection, in the choice under uncertainty, etc.

The  $\mathcal{GETCHA}$  set (*Smith set*)<sup>2</sup> is the choice set from a given set specified by the  $\mathcal{GETCHA}$  condition. To address the absence of maximal elements, Schwartz [4] gives another general solution for constructing non-empty choice sets which is called *Generalized Optimal-Choice Axiom* ( $\mathcal{GOCHA}$ ). The choice set from a given set specified by the  $\mathcal{GOCHA}$  condition is the union of minimal sets which each one of them has the following property: no alternative outside this set is preferable to an alternative inside it.

In this paper, I show that an alternative belongs to the  $\mathcal{GETCHA}$  set of a binary relation if and only if it is maximal for a minimal negative consistent superrelation. This result provides a characterization of the  $\mathcal{GOCHA}$  set (*Schwartz set*)<sup>3</sup> in tournaments.

## 2. NOTATION AND DEFINITIONS

Let  $X$  be a non-empty universal set of alternatives, and let  $R \subseteq X \times X$  be a binary relation on  $X$ . We will say that  $R$  is a subrelation of  $R'$ , and  $R'$  a superrelation of  $R$ , denoted  $R \subseteq R'$ , when for all  $x, y \in X$ ,  $xRy$  implies  $xR'y$ . The *complement* of  $R$  is denoted by  $R^c$ , that is for all  $x, y \in X$ ,  $R^c = \{(x, y) | (x, y) \notin R\}$ . We sometimes abbreviate  $(x, y) \in R$  as  $xRy$ . For any  $x \in X$ ,  $Rx = \{y \in X | yRx\}$  and  $xR = \{y \in X | xRy\}$  denote respectively the *upper contour set* and *lower contour set* of  $R$  at  $x$ . The *asymmetric part*  $P(R)$  of  $R$  is given by:  $(x, y) \in P(R)$  if and only if  $(x, y) \in R$  and  $(y, x) \notin R$ .  $\mathcal{M}(R)$  denote the elements of  $X$  that are *R-maximal* in  $X$ , i.e.,  $\mathcal{M}(R) = \{x \in X | \text{for all } y \in X, yRx \text{ implies } xRy\}$ . We say that  $R$  is *transitive* if for all  $x, y, z \in X$ ,  $(x, z) \in R$  and  $(z, y) \in R$  implies that  $(x, y) \in R$ . The *transitive closure* of  $R$  is denoted by  $\bar{R}$ , that is for all  $x, y \in X$ ,  $(x, y) \in \bar{R}$  if there exist  $k \in \mathbb{N}$  and  $x_0, \dots, x_k \in X$  such that  $x = x_0$ ,  $(x_{k-1}, x_k) \in R$  for all  $k \in \{1, \dots, K\}$  and  $x_k = y$ . A subset  $Y \subseteq X$  is an *R-cycle* if, for all  $x, y \in Y$ , we have  $(x, y) \in \bar{R}$  and  $(y, x) \in \bar{R}$ . We say that  $R$  is *acyclic* if there does not exist an *R-cycle*. Suzumura [6] provides the following definition, which generalize the notions of transitivity and acyclicity: The binary relation  $R$  is *consistent*, if for all  $x, y \in X$ , for all  $k \in \mathbb{N}$ , and for all  $x_0, x_1, \dots, x_k \in X$ , if  $x = x_0$ ,  $(x_{k-1}, x_k) \in R$  for all  $k \in \{1, \dots, K\}$  and  $x_k = y$ , then  $(y, x) \notin P(R)$ . If in the definition of consistent binary relation above we replace  $R$  with  $R^c$ , we get the notion of a *negative consistent* binary relation. As binary relations are subsets of  $X \times X$ , they are naturally partially ordered by set-inclusion. A *chain*, denoted  $\mathcal{C}$ , is a class of relations such that  $B, B' \in \mathcal{C}$  implies  $B \subseteq B'$  or  $B' \subseteq B$ . A class  $\mathcal{B}$  of relations is *closed downward* if, for all chains  $\mathcal{C}$  in  $\mathcal{B}$ ,  $\bigcap \{B | B \in \mathcal{C}\} \in \mathcal{B}$ .

Let  $\Omega$  be a family of non-empty subsets of  $X$  that represents the different feasible sets presented for choice. A choice function is a mapping that assigns to each choice situation a subset of it:

<sup>2</sup>The Smith set also appears in the literature as *weak top cycle*.

<sup>3</sup>The Smith set is also sometimes confused with the Schwartz set because in tournaments (asymmetric and complete binary relations) both sets coincide.

$C : \Omega \rightarrow X$  such that for all  $A \in \Omega$ ,  $C(A) \subseteq A$ .

The traditional choice-theoretic approach takes behavior as rational if it can be explained as the outcome of maximizing a binary relation  $R$ . In this direction, best choices can be expressed as the maximization of the individuals's preferences over a set of alternatives. That is, for every  $A \in \Omega$ ,  $C(A) = \mathcal{M}(R/A)$  ( $\mathcal{M}(R/A)$  denote the elements of  $X$  that are  $R$ -maximal in  $A$ ). An  $A \in \Omega$  is  $R$ -undominated iff for no  $x \in A$  there is a  $y \in X \setminus A$  such that  $yRx$ . An  $R$ -undominated set is *minimal* if none of its proper subsets has this property. The set  $A$  is  $R$ -dominant if and only if  $xRy$  for each  $x \in A$  and each  $y \in X \setminus A$ . An  $R$ -dominant set is *minimal* if none of its proper subsets is an  $R$ -dominant subset of  $X$ . To deal with the case where the set of maximal choices  $C(A)$  is empty, Schwartz [4, Definition in page 141] has been proposed the following general solutions:

*Generalized Top Optimal-Choice Axiom (GETCHA)*: For each  $A \in \Omega$ ,  $C(A)$  is equivalent to the minimum  $R$ -dominated subsets of  $A$ .

*Generalized Optimal-Choice Axiom (GOCHA)*: For each  $A \in \Omega$ ,  $C(A)$  is equivalent to the union of minimum  $R$ -undominated subsets of  $A$ . The *GETCHA*( $R$ ) set (resp. *GOCHA*( $R$ ) set) is the choice set from a given set specified by the *GETCHA* (resp. *GOCHA*) condition according to  $R$ .

### 3. MAIN RESULT

The main result in this paper establishes a binary characterization of the choices generated by negative consistent superrelations. Let  $\mathcal{R}_N$  denote the negative consistent superrelations of  $R$ , i.e.,  $\mathcal{R}_N = \{R \subseteq R_N \mid R_N \text{ is negative consistent}\}$ , and let  $\mathcal{R}_{N^*}$  denote the elements of  $\mathcal{R}_N$  that are minimal with respect to set inclusion.

*Zorn's Lemma*: If every chain of a partially ordered set has a lower bound, then  $E$  has a minimal element.

In order to prove the main result of this paper, we need the following proposition which is a simplification of the dual version of the definition of Duggan [1, Definition 4] for consistent binary relations.

**Proposition 1.** A binary relation  $R$  is negative consistent if and only if  $P(R) \subseteq P(\overline{R^c}^c)$ .

*Proof.* Suppose that  $R$  fulfills the definition of negative transitivity and for  $x, y \in X$ ,  $(x, y) \in P(R)$ . By way of contradiction, we assume that  $(x, y) \notin P(\overline{R^c}^c)$ . We have two cases: either  $(x, y) \notin \overline{R^c}^c$ , or  $(x, y) \in \overline{R^c}^c$  and  $(y, x) \in \overline{R^c}^c$ . In the first case we have  $(x, y) \in \overline{R^c}$ , that is, there exists a natural number  $n$  and alternatives  $x_1, x_2, \dots, x_n \in X$  such that

$$x = x_1 R^c x_2 \dots x_{n-1} R^c x_n = y.$$

Thus, negative consistency yields  $(y, x) \notin P(R^c)$  which contradicts the hypothesis that  $(x, y) \in P(R)$ . For the second case, where  $(x, y) \notin \overline{R^c}$  and  $(y, x) \notin \overline{R^c}$ , it follows that  $(x, y) \in I(R)$  which leads to a contradiction too.

To see the converse, first suppose  $P(R) \subseteq P(\overline{(R^c)^c})$  and take  $n$  and  $x_1, x_2, \dots, x_n \in X$  such that

$$x = x_1 R^c x_2 \dots x_{n-1} R^c x_n = y.$$

Thus,  $(x, y) \in \overline{R^c}$ , implying  $(x, y) \notin \overline{(R^c)^c}$ , and by supposition  $(x, y) \notin P(R)$ . Therefore,  $(y, x) \notin P(R^c)$ , as required.  $\square$

The following proposition is the dual of the Proposition 5 in [1].

**Proposition 2.** The class of all negative consistent binary relations is closed downward.

*Proof.* Let  $\mathcal{B}$  be the class of all negative consistent binary relations. To prove that  $\mathcal{B}$  is closed downward, take a chain  $\mathcal{C}$  in  $\mathcal{B}$ , let  $C = \bigcap_{B_i \in \mathcal{C}} B_i$ , and take

$(x, y) \in P(C)$ . We prove that  $(x, y) \in P(\overline{(C^c)^c})$ . We proceed by the way of contradiction, suppose that  $(x, y) \notin P(\overline{(C^c)^c})$ , then there are two cases to consider: (i)  $(x, y) \notin \overline{(C^c)^c}$ ; (ii)  $(x, y) \in \overline{(C^c)^c}$  and  $(y, x) \in (C^c)^c$ . In the first case, if  $(x, y) \notin \overline{(C^c)^c}$ , then,  $(x, y) \in C^c$ . Thus, there exist  $x_0, x_1, \dots, x_K \in X$  such that

$$x = x_0, (x_{k-1}, x_k) \in C^c \text{ for all } k \in \{0, \dots, K\} \text{ and } x_K = y.$$

But then, for each  $k \in \{1, \dots, K\}$ , there is a  $B_k \in \mathcal{C}$  such that  $(x_{k-1}, x_k) \in B_k^c$ . Since  $\mathcal{C}$  is a chain,  $\tilde{\mathcal{B}} = \{B_k | k = 1, 2, \dots, K\}$  contains a relation,  $B_\lambda$ , minimum with respect to set-inclusion. Hence,

$$x = x_0 B_\lambda^c x_1 \dots x_{K-1} B_\lambda^c x_K = y.$$

On the other hand, since  $(x, y) \in P(C)$ , there is  $B_\mu \in \mathcal{C}$  such that  $(x, y) \in P(B_\nu)$  for each  $B_\nu \subseteq B_\mu$ . We have the following two subcases to consider: (i<sub>a</sub>)  $B_\lambda \subseteq B_\mu$ ; (i<sub>b</sub>)  $B_\mu \subseteq B_\lambda$ . For (i<sub>a</sub>), we have

$$x = x_0 B_\lambda^c x_1 \dots x_{K-1} B_\lambda^c x_K = y \text{ and } (x, y) \in P(B_\lambda).$$

Since  $B_\lambda$  is negative consistent, it must be that  $(y, x) \notin P(B_\lambda^c)$ . Hence,  $(y, x) \in B_\lambda$  or  $(x, y) \in I(B_\lambda)$ , contradicting  $(x, y) \in P(B_\lambda)$ . Now consider the subcase (i<sub>b</sub>). Since  $B_\mu \subseteq B_\lambda$ , we have

$$x = x_0 B_\mu^c x_1 \dots x_{K-1} B_\mu^c x_K = y \text{ and } (x, y) \in P(B_\mu).$$

This is a contradiction as well.

We come now to the second case, that of  $(x, y) \in \overline{(C^c)^c}$  and  $(y, x) \in (C^c)^c$ . In this case, we have  $(x, y) \in I(C)$  which contradicts that  $(x, y) \in P(B_\mu)$ .  $\square$

The next two propositions are used in the proof of Theorem 5 below. The proof of the Proposition 3 uses the technique of Lemma 1 in [2]

**Proposition 3.** Let  $R$  be a binary relation on  $X$ . For each  $x \in X$ , there exists a negative consistent superrelation  $R_{C(x)} \supseteq R$  such that  $\overline{R_{C(x)}^c} x = \overline{R^c} x \setminus \{x\}$ .

*Proof.* Let us define  $Y = \overline{R^c}x \cup \{x\}$ . Denote by  $\mathcal{R}$  be the set of negative consistent superrelations  $R_N \subseteq X \times X$  of  $R$  which satisfies the following property (c):

- (c) For each  $z, y \in X$ , if  $(z, y) \notin R_N$ , then  $x = y$  or  $(y, x) \in \overline{R_N^c}$ .

Since  $X \times X$  lies in  $\mathcal{R}$ , this set is non-empty. Let  $\mathcal{C}$  be a chain in  $\mathcal{R}$ , and let  $\mathcal{D} = \bigcap \mathcal{C}$ . Since the class of negative consistent relations is closed downward (Proposition 2),  $\mathcal{D}$  is negative consistent. Moreover,  $\mathcal{D}$  satisfies the condition (c). To see that, take any  $s, t \in X$  such that  $(t, s) \notin \mathcal{D}$ , so there exists  $R_N \in \mathcal{C}$  such that  $(t, s) \notin R_N$ . Hence,  $s = x$  or  $(s, x) \in \overline{R_N^c} \subseteq \overline{\mathcal{D}^c}$ . Therefore, by Zorn's lemma,  $\mathcal{R}$  has an element, say  $R_{C(x)}$ , that is minimal with respect to set inclusion. To prove that  $\overline{R_{C(x)}^c}x = Y \setminus \{x\}$ , it suffices to show that  $\Lambda = (Y \setminus \{x\}) \setminus \overline{R_{C(x)}^c}x = \emptyset$ . Now suppose to the contrary that there exists a point  $y \in \Lambda$ . Then, there exists a natural number  $n$  and alternatives  $y_1, y_2, \dots, y_n \in X$  such that

$$y = y_1 R^c y_2 \dots y_{n-1} R^c y_n = x \quad \text{and} \quad (y, x) \notin \overline{R_{C(x)}^c}$$

Since  $x \neq y$ , we may assume that the elements  $y_1, y_2, \dots, y_n$  are distinct. Now define

$$Q_N = R_{C(x)} \setminus \{(y_1, y_2), \dots, (y_{n-1}, y_n)\}.$$

Then, we have  $R \subseteq Q_N \subset R_{C(x)}$ . The first inclusion is easy: For each  $k \in \{1, \dots, n-1\}$ ,  $(y_k, y_{k+1}) \notin R$ . For the second inclusion, it suffices to show that there is at most one  $k \in \{1, \dots, n-1\}$  such that  $(y_k, y_{k+1}) \in R_{C(x)}$ . Indeed, if for each  $k \in \{1, \dots, n-1\}$  we let  $(y_k, y_{k+1}) \notin R_{C(x)}$ , we obtain  $(y, x) \in \overline{R_{C(x)}^c}$ , a contradiction. Furthermore,  $Q_N$  satisfies the condition (c). Indeed, assume that  $s, t \in X$  are such that  $(t, s) \notin Q_N$ . There are two cases to consider: (i)  $(t, s) \notin R_{C(x)}$ ; (ii)  $(t, s) = (y_k, y_{k+1})$  for some  $i \in \{1, \dots, n-1\}$ . In the first case, by construction we have  $x = s$  or  $(s, x) \in \overline{R_{C(x)}^c} \subset \overline{Q_N^c}$ . In the second case, there must exist  $k \in \{1, \dots, n-1\}$  such that  $s = y_{k+1}$ . If  $k = n-1$ , then  $s = y_n = x$ . Otherwise,  $s = y_{k^*+1}$  for some  $k^* \in \{1, \dots, n-2\}$ . Since  $(y_{k^*}, y_{k^*+1}) \in Q_N^c, \dots, (y_{n-1}, y_n) = (y_{n-1}, x) \in Q_N^c$ , we conclude that  $(s, x) \in \overline{Q_N^c}$ . Therefore, by minimality of  $R_{C(x)}$ , it is clear that  $Q_N$  is not negative consistent. Thus, there exists a natural number  $m$  and alternatives  $z_0, z_1, \dots, z_m \in X$  such that

$$\mu = z_0 Q_N^c z_1 \dots z_{m-1} Q_N^c z_m = \nu \quad \text{and} \quad (\nu, \mu) \in P(Q_N^c).$$

Since  $R_{C(x)}$  is negative consistent and  $Q_N^c = R_{C(x)}^c \cup \{(y_1, y_2), \dots, (y_{n-1}, y_n)\}$ , there must exist  $\kappa = 1, \dots, n-1$  and  $\lambda = 0, 1, \dots, m-1$  such that  $(y_\kappa, y_{\kappa+1}) = (z_\lambda, z_{\lambda+1})$ . Consider the smallest  $\kappa$  for which there exist such  $\mu, \nu, m, z_0, \dots, z_m$ , and  $\lambda$ . We show that there is no  $j \in \{1, \dots, n-1\}$  such that  $(z_{\text{mod}[\lambda(m+1)+m+\lambda, m+1]}, z_\lambda) = (y_j, y_{j+1})$ . We proceed by the way of contradiction. Suppose that  $y_{j+1} = z_\lambda R^c z_{\lambda+1} = y_{k+1}$ . Since the elements  $y_1, \dots, y_n$  are distinct, it follows that  $\kappa \neq j$  and so  $\kappa < j$ . But then, from  $y_\kappa = z_\lambda = y_{j+1}$  we conclude

that  $\kappa = j + 1$  which is impossible. Thus, from  $(z_{\text{mod}[\lambda(m+1)+m+\lambda, m+1]}, z_\lambda) \in Q_N^c$  we deduce that  $(z_{\text{mod}[\lambda(m+1)+m+\lambda, m+1]}, z_\lambda) \in R_{C(x)}^c$ . Since  $R_{C(x)} \in \mathcal{R}$  and  $(z_{\text{mod}[\lambda(m+1)+m+\lambda, m+1]}, z_\lambda) \notin R_{C(x)}$ , we have  $x = z_\lambda$  or  $(z_\lambda, x) \in \overline{R_{C(x)}^c}$ . Using  $z_\lambda = y_\kappa \neq x$ , we exclude the first case. Hence,

$$y = y_1 R^c y_2 \dots R^c y_k \overline{R_{C(x)}^c} x.$$

Now define

$$\Gamma_N = R_{C(x)} \setminus \{(y_1, y_2), \dots, (y_{\kappa-1}, y_\kappa)\}.$$

As in the proof of  $Q_N$ , we conclude that  $R \subseteq \Gamma_N \subset R_{C(x)}$ . Furthermore, for all  $s, t \in X$ , if  $(t, s) \notin \Gamma_N$ , then similarly to the case of the relation  $Q_N$  we can prove that  $x = s$  or  $(s, x) \in \overline{\Gamma_N^c}$ . Thus,  $\Gamma_N$  satisfies the condition (c). Finally, because of choice of  $\kappa$  we conclude that  $\Gamma_N$  is negative consistent. Hence,  $\Gamma_N \in \mathcal{R}$ , contradicting the minimality of  $R_{C(x)}$ . This contradiction establish that  $\Lambda = \emptyset$  and completes the proof.  $\square$

**Proposition 4.** Let  $X$  be a nonempty set of alternatives and let  $R$  be a binary relation over  $X$ . Then, the  $\mathcal{GETCHA}(R)$  set is equivalent to  $\mathcal{M}(\overline{([P(R)]^c)^{-1}})$ .

*Proof.* Let  $x \in \mathcal{GETCHA}(R)$ . We have two cases to consider: (i) For each  $y \in Y$  there holds  $(x, y) \in R$ ; (ii) There exists  $y_0 \in Y$  such that  $(x, y_0) \notin R$ . In the first case, we have  $(y, x) \notin P(R)$  which implies that  $(x, y) \in ([P(R)]^c)^{-1} \subseteq \overline{([P(R)]^c)^{-1}}$ . Hence,  $x \in \mathcal{M}(\overline{([P(R)]^c)^{-1}})$ . In the second case, since  $(x, y_0) \notin R$ , it follows that  $y_0 \in \mathcal{GETCHA}$ , for otherwise  $(x, y_0) \in R$  which is impossible. Let  $A_x = \{t \in \mathcal{GETCHA}(R) \mid (x, t) \in \overline{R^c}\}$ . We have that  $A_x \neq \emptyset$ , because otherwise, for each  $t \in \mathcal{GETCHA}(R)$ ,  $(x, t) \notin \overline{R^c}$ . But then,  $(x, t) \in R$ , which implies that  $\{x\} \subset \mathcal{GETCHA}$  is an  $R$ -dominant subset of  $X$ , a contradiction because of the minimal character of  $\mathcal{GETCHA}(R)$ . Let  $G = \mathcal{GETCHA}(R) \setminus A_x$ . We prove that  $G = \emptyset$ . We proceed by the way of contradiction. Suppose that  $G \neq \emptyset$ . Then, for each  $t \in A_x$  and each  $s \in G$  we have  $(t, s) \in R$  for suppose otherwise,  $(t, s) \in R^c$  which implies that  $(x, s) \in \overline{R^c}$  contradicting  $s \in G$ . Therefore,  $A_x \subset \mathcal{GETCHA}(R)$  is an  $R$ -dominant subset of  $X$ , again a contradiction. Hence,  $A_x = \mathcal{GETCHA}(R)$ . Since,  $y_0 \in \mathcal{GETCHA}(R)$  we conclude that  $(x, y_0) \in \overline{R^c}$ . Similarly, we can prove that  $(y_0, x) \in \overline{R^c}$ . Hence, since  $R^c \subseteq [P(R)]^c$  we conclude that  $x$  and  $y_0$  belong to a  $([P(R)]^c)^{-1}$ -cycle. On the other hand, for each  $y \in Y \setminus \mathcal{GETCHA}(R)$ , as in the case (i), we deduce that  $(x, y) \in \overline{([P(R)]^c)^{-1}}$ . Hence in any case we have  $(y, x) \notin P(\overline{([P(R)]^c)^{-1}})$  which implies that  $x \in \mathcal{M}(\overline{([P(R)]^c)^{-1}})$ .

To prove the converse, take any  $x \in \mathcal{M}(\overline{([P(R)]^c)^{-1}})$ . We show that  $x \in \mathcal{GETCHA}(R)$ . We will consider two cases:

*Case 1:* For each  $y \in X$  there holds  $(y, x) \notin \overline{([P(R)]^c)^{-1}}$ . In this case we have  $(x, y) \in P(R) \subseteq R$ . Hence,  $x$  is an  $R$ -dominant element of  $X$  which implies that  $\mathcal{GETCHA}(R) = \{x\}$ .

*Case 2.* There exists  $y \in X$  such that  $(x, y) \in \overline{([P(R)]^c)^{-1}}$  and  $(y, x) \in \overline{([P(R)]^c)^{-1}}$ . In this case,  $x$  belongs to a  $[P(R)]^c$ -cycle. Let  $\mathcal{C}(x)$  be a  $[P(R)]^c$ -cycle containing  $x$  that is maximal in the sense that it is not a proper subset of any other  $[P(R)]^c$ -cycle. We prove that  $\mathcal{C}(x) = \mathcal{GETCHA}$ . Suppose on the contrary, that  $(t, z) \notin R$  for some  $t \in \mathcal{C}(x)$  and  $z \in X \setminus \mathcal{C}(x)$ ; to deduce a contradiction. It follows that  $(t, z) \in [P(R)]^c$  which implies that  $(x, z) \in \overline{[P(R)]^c}$ . Hence,  $(z, x) \in \overline{([P(R)]^c)^{-1}}$ . Since  $(z, x) \notin P(\overline{([P(R)]^c)^{-1}})$  we conclude that  $(x, z) \in \overline{([P(R)]^c)^{-1}}$ . Hence,  $\mathcal{C}(x) \cup \{z\}$  is a  $[P(R)]^c$ -cycle, a contradiction.  $\square$

The next result shows the connection between the  $\mathcal{GETCHA}(R)$  set and the choice sets generated from negative consistent superrelations.

**Theorem 5.** Let  $X$  be a nonempty set of alternatives and let  $R$  be a binary relation over  $X$ . Then, the  $\mathcal{GETCHA}(R)$  set is equivalent to the union of maximal elements of all minimal negative consistent superrelations of  $R$ .

*Proof.* Let  $R_{N^*} \in \mathcal{R}_{N^*}$  be minimal, take any  $x \in \mathcal{M}(R_{N^*})$ . We prove that  $x \in \mathcal{GETCHA}(R)$ . Suppose to the contrary that  $x \notin \mathcal{GETCHA}(R)$ , then by Proposition 4 there exists  $y \in X$  such that  $(y, x) \in P(\overline{([P(R)]^c)^{-1}})$ . It follows that  $(x, y) \notin \overline{([P(R)]^c)^{-1}}$  which implies that  $(y, x) \in P(R)$ . Hence,  $(y, x) \in R \subseteq R_{N^*}$ . Therefore by  $(y, x) \notin P(R_{N^*})$  we conclude that  $(x, y) \in R_{N^*}$ . Let us define  $R_{N^{**}} = R_{N^*} \setminus (x, y)$ . Since  $(x, y) \notin R$ , we conclude that  $R \subseteq R_{N^{**}} \subset R_{N^*}$  and  $R_{N^{**}}$  is non negative consistent (the assumption that  $R_{N^{**}}$  is negative consistent contradicts to the fact that  $R_{N^*}$  is minimal with respect to set-inclusion). Hence, there exist  $s, t \in X$ ,  $\lambda \in \mathbb{N}$ , and  $z_0, z_1, \dots, z_\Lambda \in X$  such that  $s = z_0$ ,  $(z_{\lambda-1}, z_\lambda) \in R_{N^{**}}^c$  for all  $\lambda \in \{1, \dots, \Lambda\}$ ,  $z_\Lambda = t$  and  $(t, s) \in P(R_{N^{**}}^c) \subseteq R_{N^{**}}^c$ . Since  $R_{N^*}$  is negative consistent and  $R_{N^{**}}^c = R_{N^*}^c \cup \{(x, y)\}$ , there must exist  $\lambda_0 \in \{1, \dots, \Lambda\}$  such that  $(z_{\lambda_0-1}, z_{\lambda_0}) = (x, y)$  and for all  $\lambda \in \{1, \dots, \Lambda\}$  with  $\lambda \neq \lambda_0$ ,  $(z_{\lambda-1}, z_\lambda) \in R_{N^{**}}$  if and only if  $(z_{\lambda-1}, z_\lambda) \in R_{N^*}$ . It then follows that  $(z_{\lambda_0}, z_{\lambda_0-1}) \in \overline{R_{N^*}^c}$ . Therefore,  $(y, x) \in \overline{R_{N^*}^c} \subset \overline{R_{N^*}^c} \subset \overline{[P(R)]^c}$ . But then,  $(x, y) \in \overline{([P(R)]^c)^{-1}}$  contradicting  $(y, x) \in P(\overline{([P(R)]^c)^{-1}})$ . This contradiction confirms the claim.

To prove the converse, take any  $x \in \mathcal{GETCHA}(R)$ . We show that there exists  $R_{N^*} \in \mathcal{R}_N$  such that  $x \in \mathcal{M}(R_{N^*})$ . Let  $R_{C(x)}$  be as in Proposition 3. First, observe that  $x$  is  $R_{C(x)}$ -maximal in  $X$ . Indeed, suppose to the contrary that there exists  $y \in X$  such that  $(y, x) \in P(R_{C(x)}) \subseteq P(\overline{(R_{C(x)}^c)^c})$ . Since  $(x, y) \notin R_{C(x)} \supseteq R$ , it follows that  $y \in \mathcal{GETCHA}(R)$ , for otherwise  $(x, y) \subseteq R \subseteq R_{C(x)}$  which is impossible. From  $x \in \mathcal{GETCHA}(R)$  by using the proof of Proposition 4 we conclude that  $(y, x) \in \overline{R^c}$ . Therefore,  $y \in \overline{R^c}x \setminus \{x\} = \overline{R_{C(x)}^c}x$ , contradicting  $(y, x) \in P(\overline{(R_{C(x)}^c)^c}) \subseteq \overline{(R_{C(x)}^c)^c}$ . Hence,  $x$  is  $R_{C(x)}$ -maximal in  $X$ . If  $R_{C(x)}$  is minimal with respect to set-inclusion in  $X$ , then the proof is over. Otherwise, there exists at least one negative consistent superrelation  $Q$  such that  $R \subseteq Q \subset R_{C(x)}$ . Let  $\mathcal{Q}$  be the set of negative consistent superrelations  $Q$  satisfying the latter condition. Let  $\mathcal{C}$  be a chain in  $\mathcal{Q}$ , and let  $\mathcal{D} = \bigcap \mathcal{C}$ . Evidently,  $R \subseteq$



$\mathcal{D} \subset R_{C(x)}$ . Since the class of negative consistent relations is closed downward (proposition 2),  $\mathcal{D}$  is negative consistent. Therefore, by Zorn's lemma,  $\mathcal{Q}$  has an element, say  $\tilde{Q}$ , that is minimal with respect to set inclusion. We prove that  $x$  is  $\tilde{Q}$ -maximal. We proceed by way of contradiction. Let  $y \in X$  such that  $(y, x) \in P(\tilde{Q})$ . Since  $x \in \mathcal{GETCHA}(R)$  and  $(x, y) \notin R$ , as above, we conclude that  $y \in \overline{R^c x} \setminus \{x\} = \overline{R_{C(x)}^c} x \subset \overline{\tilde{Q}^c} x$ , contradicting  $(y, x) \in P(\tilde{Q}) \subseteq P((\overline{\tilde{Q}^c})^c) \subseteq (\overline{\tilde{Q}^c})^c$ . The proof is over.  $\square$

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